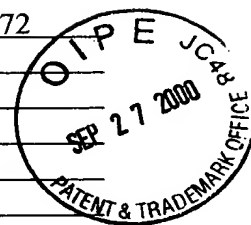


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TECH CENTER 1600/296056-272
Attorney Docket No. 1600/296056-272

Applicant or Patentee: E. Premkumar Reddy et al.
Serial or Patent No.: 09/595,760
Filed or Issued: June 16, 2000
For: 1-(4-SULFAMYLARYL)-3-SUBSTITUTED-5-ARYL-2-PYRAZOLINES AND
INHIBITORS OF CYCLOOXYGENASE-2



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27(d)) - NON-PROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the non-profit organization identified below:

NAME OF NON-PROFIT ORGANIZATION: Temple University - Of The
Commonwealth System of Higher
Education

ADDRESS OF NON-PROFIT ORGANIZATION Broad & Montgomery Avenue,
Philadelphia, PA 19122

TYPE OF ORGANIZATION:

- ☒ University of Other Institute of Higher Education
- ☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501 (c)(3))
- ☐ Nonprofit Scientific or Educational under Statute of State of the United States of America
(Name of State _____)
(Citation of Statute _____)
- ☐ Would Qualify as Tax Exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3) If Located in the United States
- ☐ Would Quality as Non-profit Scientific or Educational under Statute of State of the United States of America If Located in the United States of America
(Name of State _____)
(Citation of Statute _____)

I hereby declare that the non-profit organization identified above qualifies as a non-profit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office under Section 41(a) and (b) of Title 35, United States Code with regard to the above-identified invention by inventor(s) identified above described in

- ☐ the specification filed herewith, with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to, and remain with, the non-profit organization, with regard to the above-identified invention.

If the rights held by the non-profit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Each such person, concern or organization having any rights in the invention is listed below:

- ☐ No such person, concern or organization exists.
- ☒ Each such person, concern or organization is listed below.

FULL NAME Onconova Therapeutics, Inc.

ADDRESS P. O. Box 7693

Princeton, NJ 08543

☐ INDIVIDUAL ☒ SMALL BUSINESS ☐ NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

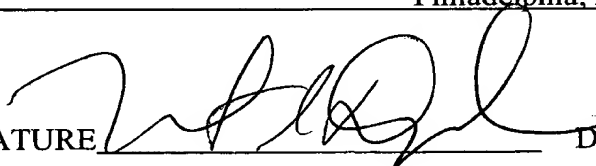
NAME OF PERSON SIGNING Martin S. Dorph

TITLE IN ORGANIZATION Vice President, Chief Financial Officer and Treasurer

ADDRESS OF PERSON SIGNING Broad and Montgomery Avenue

Philadelphia, PA 19122

SIGNATURE



DATE

9/20/00

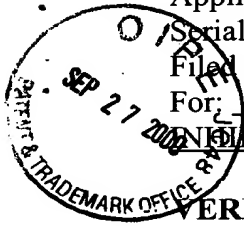
Attorney Docket No. 6056-272

Applicant or Patentee: E. Premkumar Reddy et al.

Serial or Patent No.: 09/595,760

Filed or Issued: June 16, 2000

For: 1-(4-SULFAMYLARYL)-3-SUBSTITUTED-5-ARYL-2-PYRAZOLINES AND
INHIBITORS OF CYCLOOXYGENASE-2



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below;
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN

Onconova Therapeutics, Inc.

ADDRESS OF SMALL BUSINESS CONCERN

P. O. Box 7693
Princeton, NJ 08543

I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to, and remain with, the small business concern identified above, with regard to the above-identified invention described in

- ☐ the specification filed herewith, with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

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*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Each such person, concern or organization having any rights in the invention is listed below:

- ☐ No such person, concern or organization exists.
- ☒ Each such person, concern or organization is listed below.

FULL NAME Temple University - Of The Commonwealth System of Higher Education

ADDRESS Broad and Montgomery Avenue

Philadelphia, PA 19122

☐ INDIVIDUAL ☒ SMALL BUSINESS ☐ NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Ramesh Kumar, Ph.D.

TITLE IN ORGANIZATION President

ADDRESS OF PERSON SIGNING Onconova Therapeutics, Inc.

P. O. Box 7693

Princeton, NJ 08543

SIGNATURE 

DATE August 22, 2000.